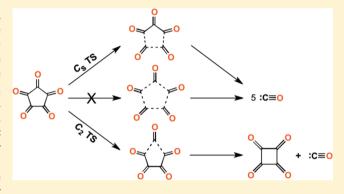


# Theoretical Analysis of the Fragmentation of (CO)<sub>5</sub>: A Symmetry-Allowed Highly Exothermic Reaction that Follows a Stepwise **Pathway**

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Supporting Information

ABSTRACT: B3LYP and CCSD(T) calculations, using an aug-cc-pVTZ basis set, have been carried out on the fragmentation of 1,2,3,4,5-cyclopentanepentone, (CO)<sub>5</sub>, to five molecules of CO. Although this reaction is calculated to be highly exothermic and is allowed to be concerted by the Woodward-Hoffmann rules, our calculations find that the  $D_{5h}$ energy maximum is a multidimensional hilltop on the potential energy surface. This  $D_{5h}$  hilltop is 16–20 kcal/mol higher in energy than a C2 transition structure for the endothermic cleavage of  $(CO)_5$  to  $(CO)_4 + CO$  and 11-15 kcal/mol higher than a  $C_s$  transition structure for the loss of two CO molecules. The reasons for the very high energy of the  $D_{5h}$  hilltop are discussed, and the geometries of the two lower energy



transition structures are rationalized on the basis of mixing of the e<sub>2</sub>' HOMO and the a<sub>2</sub>" LUMO of the hilltop.

# ■ INTRODUCTION

Monocyclic oxocarbons,  $(CO)_n$  (n = 3-6), have recently attracted considerable research interest. This interest was initially stimulated by the computational study of the electronic structure of the (CO)<sub>4</sub> molecule. Calculations on (CO)<sub>4</sub> by Gleiter<sup>2</sup> and Jiao<sup>3</sup> and, subsequently, by us<sup>4</sup> and by Bartlett<sup>5</sup> led to the unexpected conclusion that this apparently normal tetraketone has a triplet ground state.

The reason for this finding is that the two frontier MOs of (CO)<sub>4</sub>, the  $b_{2g}$   $\sigma$  MO and the  $a_{2u}$   $\pi$  MO in Figure 1, have nearly

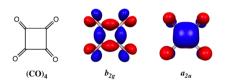


Figure 1. Two frontier MOs of (CO)4, each of which is singly occupied in the triplet ground state of this molecule.

the same energies. 4b,6 These MOs are nondisjoint (i.e., they have atoms in common); therefore, Hund's rule should apply. Consequently, the ground state of (CO)<sub>4</sub> was predicted to be a triplet, in which the  $b_{2g}$   $\sigma$  MO and the  $a_{2u}$   $\pi$  MO are each singly occupied.4b Recently, Wang and co-workers confirmed this computational conclusion experimentally by using negative-ion photoelectron spectroscopy (NIPES).

Both qualitative MO analysis of and quantitative calculations on the n = 3, 5, and 6 members of the  $(CO)_n$  series predicted that, unlike (CO)<sub>4</sub>, each of these three cyclic oxocarbons has a singlet ground state.<sup>6</sup> NIPES studies of (CO)<sub>3</sub>,<sup>10</sup> as well as (CO)<sub>5</sub> and (CO)<sub>6</sub><sup>9b</sup> by Wang once again confirmed these theoretical predictions.

The widths of the peaks in the NIPE spectrum of (CO)<sub>3</sub> confirmed a second prediction, namely, that a  $D_{3h}$  geometry is not an energy minimum for either the lowest singlet or triplet state of this molecule. 10 The 3E' state undergoes a first-order Jahn-Teller e' distortion from  $D_{3h}$  symmetry before fragmenting to CO plus triplet  $C_2O_2$ , and the  $^1A_1{}^\prime$  singlet undergoes a second-order e" distortion in fragmenting to three molecules of CO. Consequently, a  $D_{3h}$  geometry is a hilltop on the potential energy surface for the singlet.

The non-least-motion fragmentation of singlet  $(CO)_3$  is interesting because, in a reaction mechanism that preserves  $D_{3h}$ symmetry, the MOs of the reactant correlate with the MOs of the product.<sup>6</sup> Consequently, concerted, least-motion fragmentation of (CO)<sub>3</sub> to three molecules of CO is a Woodward-Hoffmann allowed reaction. 11 Nevertheless, the  $D_{3h}$  fragmenta-

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tion pathway is calculated to encounter a barrier, albeit a small one. Consequently, the preferred fragmentation pathways for the singlet involve out-of-plane  ${\rm e}''$  distortions, which allow the mixing of the filled  ${\rm e}'$  orbitals of the C–C ring bonds with the low-lying empty  ${\rm a_2}'' \pi {\rm MO.}^{10}$ 

The least-motion fragmentation of singlet  $(CO)_5$  is also an orbital-symmetry-allowed reaction in  $D_{5h}$  symmetry.<sup>6</sup> We wondered whether if, nevertheless, this fragmentation reaction would, like the fragmentation of  $(CO)_3$ , be found to prefer a reaction pathway in which symmetry is broken so that C–C bond cleavage is asynchronous.

In this article, we report the results of our computational investigation of this question. We find that a geometry with  $D_{5h}$  symmetry is, indeed, a multidimensional hilltop on the potential energy surface for  $(CO)_5$  and that the actual transition structures for fragmentation have lower symmetry. The mixing between the  $\mathbf{e_2}'$  HOMO and the  $\mathbf{a_2}''$  LUMO that occurs on  $\mathbf{e_2}''$  distortions from  $D_{5h}$  symmetry results in  $C_2$  and  $C_s$  transition structures both being much lower in energy than the  $D_{5h}$  hilltop for synchronous fragmentation.

#### ■ COMPUTATIONAL METHODOLOGY

Two different types of calculations were carried out in order to investigate the potential energy surface for fragmentation of (CO)<sub>5</sub>. One set of calculations was based on density functional theory (DFT) and employed the B3LYP functional.<sup>12</sup> The other used CCSD(T).<sup>13</sup> Both sets of calculations were carried out with the aug-cc-pVTZ basis set.<sup>14</sup> Vibrational analyses were performed at all B3LYP stationary points in order to identify each geometry as an energy minimum, transition structure, or hilltop, and the calculated frequencies yielded the zero-point vibrational energy (ZPE) correction for each B3LYP stationary point.

The geometries of the  $(CO)_5$  reactant and the products formed from it were also optimized at the CCSD(T)/aug-cc-pVTZ level of theory. Single-point CCSD(T) calculations were performed at the B3LYP geometries for species with one or more imaginary vibrational frequencies. All calculations were carried out with the Gaussian 09 suite of programs. <sup>15</sup>

# RESULTS AND DISCUSSION

The results of the B3LYP/aug-cc-pVTZ and CCSD(T)/aug-cc-pVTZ calculations are summarized in Table 1. Complete

Table 1. B3LYP/aug-cc-pVTZ and CCSD(T)/aug-cc-pVTZ Energies (kcal/mol) of the Stationary Points on the Potential Surface for Fragmentation of  $D_{5h}$  (CO)<sub>5</sub> Relative to the Energy of (CO)<sub>5</sub>

calculation	$D_{5h} \text{ MDHT}^a$	$C_2$ TS	$C_s$ TS	$(CO)_4 + CO$	5 CO
B3LYP	55.2	35.5	41.9	7.7 <sup>b</sup>	-26.4
CCSD(T)	62.2	46.2°	51.0°	13.1 <sup>c,d</sup>	-35.2

 $^a$  Multidimensional hilltop. The imaginary frequencies and the vibrations to which they correspond are given in the text.  $^b$ Lowest singlet, in which the  $a_{2u}$   $\pi$  MO is doubly occupied.  $^c$ CCSD(T)/aug-cc-pVTZ//B3LYP/aug-cc-pVTZ result.  $^d$ Lowest singlet, in which the  $b_{2g}$   $\sigma$  MO is doubly occupied.

information about the geometries of all stationary points and the absolute electronic and vibrational energies computed at these geometries is available in the Supporting Information.

Concerted Fragmentation of (CO)<sub>5</sub> in  $D_{5h}$  Symmetry. A  $D_{5h}$  energy maximum on the potential energy surface for the concerted fragmentation of  $D_{5h}$  (CO)<sub>5</sub> to five CO molecules was found. At this geometry, the C–C bonds are lengthened to 2.021 Å from 1.555 Å at the B3LYP equilibrium geometry of

the reactant, whereas the CO bonds are shortened to 1.149 Å from 1.194 Å in the reactant. The 0.045 Å shortening of the CO bonds is clearly due to partial formation of a second  $\pi$  bond in the molecular plane. The B3LYP/aug-cc-pVTZ bond length in CO is 1.126 Å, which is 0.023 Å shorter than that calculated at the  $D_{5h}$  energy maximum.

At the  $D_{5h}$  energy maximum, in addition to the expected imaginary frequency ( $i349.1 \text{ cm}^{-1}$ ) for the  $a_1'$  vibration that connects (CO)<sub>5</sub> to five CO molecules, imaginary frequencies of i277.5 and  $i140.7 \text{ cm}^{-1}$  were also found. These latter two imaginary frequencies are, respectively, for a pair of  $e_2''$  and a pair of  $e_1''$  degenerate vibrations. Thus, the  $D_{5h}$  energy maximum is not a transition structure on the global potential energy surface for (CO)<sub>5</sub>. Instead, it is a multidimensional hilltop whose energy can be lowered by geometry distortions along not only an  $a_1'$  vibrational coordinate for fragmentation but also along two pairs of degenerate  $e_1''$  and  $e_2''$  vibrational coordinates.

**Distortions from**  $D_{5h}$  **Symmetry.** The  $e_1$ " and  $e_2$ " vibrations each consist of a degenerate pair of out-of-plane distortions from  $D_{5h}$  symmetry. These distortions are shown schematically in Figure 2. The components that maintain a  $C_2$  axis of symmetry are labeled  $e_{1x}$ " and  $e_{2x}$ " in Figure 2. The components that maintain a  $C_s$  plane of symmetry are labeled  $e_{1y}$ " and  $e_{2y}$ ".

**Figure 2.** Schematic depiction of  $\mathbf{e_1}''$  and  $\mathbf{e_2}''$  distortions from  $D_{5h}$  symmetry. If the blue arrows represent motions of oxygen atoms upward, above the molecular plane, then the red arrows represent motions of oxygen atoms downward, below the molecular plane.

The imaginary frequency of  $i277.5~{\rm cm}^{-1}$  that is associated with an  ${\rm e_2}''$  distortion from  $D_{5h}$  symmetry is about twice the size of the imaginary frequency of  $i140.7~{\rm cm}^{-1}$  that is associated with an  ${\rm e_1}''$  distortion. Therefore, it seemed likely that  ${\rm e_2}''$  distortions would lead to lower energy structures than  ${\rm e_1}''$  distortions would.

In addition, an  $e_{2x}^{"}$  distortion results in all the C–O bonds being staggered, whereas an  $e_{2y}^{"}$  distortion leaves the C–O bonds at C3 and C4 eclipsed. Therefore, we guessed that the lowest energy transition structure for fragmentation of (CO)<sub>5</sub> would be an  $e_{2x}^{"}$  distorted structure, which would have  $C_2$  symmetry and in which all of the C–O bonds would be staggered. Consequently, we began by searching for such a transition structure.

The  $C_2$  Transition Structure for Fragmentation of (CO)<sub>5</sub>. Our search for an  $e_{2x}$  distorted transition structure led to the stationary point shown in Figure 3. A vibrational analysis confirmed that it has one and only one imaginary frequency ( $i313.1 \text{ cm}^{-1}$ ), which corresponds to extrusion of the unique CO group. Therefore, this  $C_2$  geometry is a transition structure for fragmentation of (CO)<sub>5</sub>.

Starting at this transition structure and following the transition vector led to cyclic  $(CO)_4$  + CO. There was no

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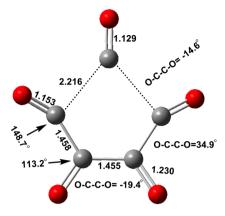


Figure 3.  $C_2$  transition structure for fragmentation of (CO)<sub>5</sub>. Bond lengths are given in Å, and bond angles and dihedral angles are given in degrees.

indication that this transition structure led to further fragmentation of the four-carbon fragment in Figure 3, at least not along a pathway to cyclic  $(CO)_4$  that preserves the  $C_2$  axis of symmetry. <sup>16</sup>

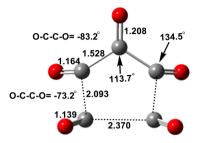
As shown in Table 1, the fragmentation of  $(CO)_5$  to  $(CO)_4$  + CO is calculated at the CCSD(T) level of theory to be endothermic by 13.1 kcal/mol (10.3 kcal/mol including B3LYP corrections for ZPE differences). However, at temperatures high enough to overcome the calculated barrier height of 46.2 kcal/mol, this fragmentation reaction would be thermodynamically favorable because the entropy of  $(CO)_4$  + CO is computed to be higher than that of  $(CO)_5$  by 39.1 eu.

If cyclic  $(CO)_4$  were actually formed in the fragmentation of  $(CO)_5$ , then it would not survive the reaction conditions. As already noted, cyclic  $(CO)_4$  has a triplet ground state, and the triplet is predicted to undergo stepwise fragmentation with a low reaction barrier. However, even if pyrolysis of  $(CO)_5$  were to result in the formation of five molecules of CO, the predicted formation of  $(CO)_4$  as an intermediate would make the pathway for the fragmentation reaction stepwise, rather than concerted

The concerted fragmentation of  $(CO)_5$  to five molecules of CO is not only a Woodward–Hoffmann allowed reaction <sup>11</sup> but also a reaction that is computed to be 34–48 kcal/mol more enthalpically favorable than fragmentation of  $(CO)_5$  to  $(CO)_4$  + CO. Nevertheless, the results in Table 1 indicate that the latter pathway is predicted to have a reaction barrier that is lower by 16–20 kcal/mol than that for concerted fragmentation.

The  $C_s$  Transition Structure for Fragmentation of (CO)<sub>5</sub>. Our search for an  $e_{2y}$ " distorted transition structure with a  $C_s$  plane of symmetry led to the structure shown in Figure 4. Vibrational analysis confirmed that it has an imaginary frequency of i227.4 cm<sup>-1</sup> for extrusion of two CO groups and formation of a  $C_3O_3$  fragment. Starting at the  $C_s$  structure in Figure 4 and following the vibration with this imaginary frequency led to the subsequent breaking of the two C–C bonds between the three CO groups and eventual formation of five molecules of CO.<sup>17</sup>

This fragmentation pathway from (CO)<sub>5</sub> to five molecules of CO does not involve the formation of any intermediates. Therefore, it is energetically concerted. However, breaking of the C–C bonds in (CO)<sub>5</sub> via the  $C_{\rm s}$  transition structure in Figure 4 is highly asynchronous. According to Table 1, the barrier for this asynchronous reaction pathway is 11–13 kcal/



**Figure 4.**  $C_s$  stationary point for fragmentation of (CO)<sub>5</sub>. Bond lengths are given in Å, and bond angles and dihedral angles are given in degrees.

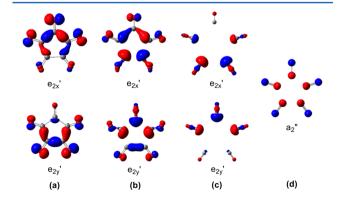
mol lower in energy than the  $D_{Sh}$  mountain top for synchronous C–C bond breaking, but the  $C_s$  transition structure is 5–6 kcal/mol higher in energy than the  $C_2$  transition structure, which leads to CO plus cyclic (CO)<sub>4</sub>.

The  $C_s$  structure in Figure 4 is actually not a true transition structure because it has a second imaginary frequency of i23.0. This small imaginary frequency corresponds to an antisymmetric vibration that staggers the C–O bonds at C3 and C4. Therefore, the true transition structure has no (i.e.,  $C_1$ ) symmetry. Its structure is given in the Supporting Information.

However, the B3LYP energy of the  $C_1$  transition structure is lower than that of the  $C_s$  hilltop in Figure 4 by only 0.1 kcal/mol. Therefore, we prefer to base the following discussion of the transition structures for fragmentation of (CO)<sub>5</sub> on the  $C_s$  structure in Figure 4 rather than on the  $C_1$  structure described in the Supporting Information.

Why Are the  $C_2$  and  $C_s$  Transition Structures Lower in Energy than the  $D_{5h}$  Hilltop for Fragmentation? The  $e_2$ " distortions that lead from the  $D_{5h}$  hilltop to the  $C_2$  and  $C_s$  structures in, respectively, Figures 3 and 4 may be regarded as second-order Jahn–Teller (SOJT) distortions. Each distortion has the correct symmetry to mix one of the degenerate pair of  $e_2$ ' HOMOs with the  $e_2$ " out-of-plane, CO,  $e_2$ \* LUMO. These MOs are shown in Figure 5.

As shown in Figure 5, the  $e_2$ ' HOMOs of  $D_{5h}$  (CO)<sub>5</sub> correlate with the  $e_2$ ' symmetry combinations of carbon lonepair MOs on five CO molecules. These lone-pair combinations, which are pictured in Figure 5c, are highly C–C antibonding,



**Figure 5.** Degenerate  $e_2$ ' HOMOs of  $(CO)_5$  at (a) the equilibrium geometry, (b) the  $D_{5h}$  hilltop geometry, and (c) at infinite separation, where the HOMOs are the  $e_2$ ' combinations of the carbon lone-pair AOs in five CO molecules. C1 is the unique carbon atom in each MO. The  $a_2$ " out-of-plane, CO,  $\pi^*$  LUMO, which remains unchanged in shape at all three geometries, is shown in (d).

but, by mixing with the  $e_2$  combinations of in-plane CO  $\pi^*$  MOs, they become the  $e_2$  bonding  $\sigma$  MOs of (CO)<sub>s</sub>.

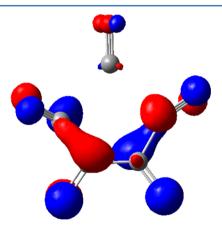
Figure 5 shows that the amount of mixing between the carbon lone-pair orbitals and the in-plane CO  $\pi^*$  MOs in the  $e_2$ ' MOs of  $D_{Sh}$  (CO)<sub>S</sub> depends on the C–C distance. As the C–C distance increases, the mixing between the carbon lone-pair orbitals and the in-plane CO  $\pi^*$  MOs decreases, so the amount of C–C bonding in the  $e_2$ ' MOs decreases. As the energy of this degenerate pair of HOMOs increases, the energy gap between them and the  $a_2$ " LUMO decreases.

The smaller the HOMO–LUMO energy gap, the more favorable the SOJT mixing between the  $e_2$   $'\sigma$  MOs and the  $a_2$   $''\pi$  MO becomes. That is why the  $e_2$   $''\pi$  vibrations, which cause the SOJT mixing of these MOs, have a positive force constant and a real frequency of 34.6 cm<sup>-1</sup> at the  $D_{Sh}$  equilibrium geometry, but they have a negative force constant and an imaginary frequency of i277.5 cm<sup>-1</sup> at the  $D_{Sh}$  hilltop.

How does the SOJT mixing of the degenerate  $e_2$ ' HOMOs with the  $a_2$ " LUMO lower the energy of  $(CO)_5$  at the  $D_{5h}$  hilltop? As shown in Figure 5b, at this geometry there are serious antibonding interactions in  $e_2$ ' between the lone-pair AOs on the carbons. These antibonding interactions are mitigated by the mixing of the  $e_2$ ' HOMOs with the  $a_2$ " LUMO upon an  $e_2$ " molecular distortion.

More specifically, Figure 5b shows that in the  $e_{2x}'$  MO at the  $D_{5h}$  hilltop there are strong, nearest-neighbor antibonding interactions between the AOs on C2, C3, C4, and C5. These interactions can be mitigated by an  $e_{2x}''$  distortion, which moves the oxygens attached to adjacent carbons in opposite directions above and below the molecular plane. This distortion mixes the  $e_{2x}'$  MO with the  $a_2''$  MO and thus reorients the AOs on nearest-neighbor atoms above and below the molecular plane.

This can be seen in Figure 6, which shows the HOMO of  $(CO)_5$  at the  $C_2$  transition structure. The large red lobes on C2



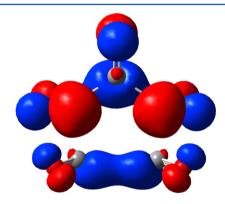
**Figure 6.** HOMO of the  $C_2$  transition structure for the fragmentation of  $(CO)_5$  to  $(CO)_4$  + CO. This MO results from SOJT mixing of the  $e_{2x}$  'HOMO with the  $a_2$ " LUMO of  $D_{5h}$  (CO) $_5$  upon an  $e_{2x}$ " molecular distortion.

and C4 point above the plane of the four carbons, and the large blue lobes at C3 and C5 point below this plane.

An  $e_{2x}$ " molecular distortion does not mix the  $e_{2y}$ ' HOMO in Figure 5 with the  $a_2$ " LUMO. Consequently, the strongly antibonding interactions of the orbitals on C1 with the orbitals on C2 and C5 in  $e_{2y}$ ' persist after an  $e_{2x}$ " molecular distortion. In order to alleviate these interactions, the C1–C2 and C1–C5

bonds lengthen, which is why the geometry of the  $C_2$  transition structure has long C–C bond distances to C1.

Although an  $e_{2x}^{''}$  molecular distortion does not mix the  $e_{2y}^{'}$  HOMO with the  $a_2^{''}$  LUMO, an  $e_{2y}^{''}$  molecular distortion does result in this mixing. This mixing mitigates the strongly antibonding interactions of the orbital on C1 with the orbitals on C2 and C5 in the  $e_{2y}^{'}$  MO and leads to the  $C_s$  stationary point in Figure 4. The HOMO at this geometry, which arises from the mixing of the  $e_{2y}^{'}$  HOMO with the  $a_2^{''}$  LUMO, is shown in Figure 7. The large blue lobe at C1 points below the C2–C1–C5 plane, and the large red lobes at C2 and C5 point above this plane.

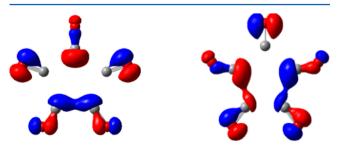


**Figure 7.** HOMO of the  $C_s$  transition structure for the fragmentation of (CO)<sub>5</sub> initially to C<sub>3</sub>O<sub>3</sub> + 2 CO. This MO results from SOJT mixing of the  $e_{2y}'$  HOMO with the  $a_2''$  LUMO of  $D_{5h}$  (CO)<sub>5</sub> upon an  $e_{2v}''$  molecular distortion.

An  $e_{2y}{}''$  molecular distortion does not mix the  $e_{2x}{}'$  HOMO in Figure 5 with the  $a_2{}''$  LUMO. Consequently, the strongly antibonding interactions in  $e_{2x}{}'$  of the orbitals on C3 and C4 with each other and with the orbitals on C2 and C5 persist after an  $e_{2y}{}''$  molecular distortion. In order to alleviate these interactions, the bonds to C3 and C4 all lengthen, which is why the geometry of the  $C_s$  transition structure has long bond distances to these two carbons.

Transition Structures Derived from  $\mathbf{e_1}''$  Distortions of the  $D_{5h}$  Hilltop Geometry. As already noted, at the  $D_{5h}$  hilltop geometry not only  $\mathbf{e_2}''$  but also  $\mathbf{e_1}''$  vibrations have imaginary frequencies. The degenerate pair of  $\mathbf{e_1}''$  distortions has imaginary frequencies because they allow SOJT mixing between the high-lying  $\mathbf{e_1}'$  MOs and the  $\mathbf{a_2}''$  LUMO. This type of out-of-plane distortion mitigates the antibonding interactions between the  $\mathbf{e_1}'$  combinations of carbon lone-pair AOs at the  $D_{5h}$  hilltop geometry.

The degenerate pair of  $e_1$  MOs at the  $D_{5h}$  hilltop geometry is shown in Figure 8. Unlike the  $e_2$  MOs, which have two nodal



**Figure 8.** Degenerate  $e_1'$  HOMOs of  $(CO)_5$  at the  $D_{5h}$  hilltop geometry of  $(CO)_5$ .

planes between the carbon lone-pair AOs, the  $e_1$  MOs have just one such plane. This is the reason that the  $e_1$  MOs are lower in energy than the  $e_2$  HOMOs of (CO)<sub>5</sub> at the  $D_{5h}$  hilltop geometry.

The lower energy of the  $e_1'$  MOs than of the  $e_2'$  MOs means that the mixing of the  $a_2''$  LUMO with the  $e_1'$  MOs upon an  $e_1''$  distortion from  $D_{5h}$  symmetry provides less stabilization than the mixing of  $a_2''$  with the  $e_2'$  MOs upon an  $e_2''$  distortion from  $D_{5h}$  symmetry. This is the reason why at the  $D_{5h}$  hilltop geometry an  $e_1''$  vibration has an imaginary frequency that is only about half the size of an  $e_2''$  vibration.

Although we searched for  $C_2$  and  $C_s$  transition structures that would represent  $\mathbf{e_1}''$  distortions from  $D_{5h}$  symmetry, we were unable to find them. Our searches always led back to the  $C_2$  transition structure shown in Figure 3 or to the  $C_s$  transition structure shown in Figure 4, each of which represents an  $\mathbf{e_2}''$  distortion from  $D_{5h}$  symmetry. The much smaller imaginary frequency for an  $\mathbf{e_1}''$  distortion than that for an  $\mathbf{e_2}''$  distortion from  $D_{5h}$  symmetry indicates that, if  $\mathbf{e_1}''$  distorted transition structures do exist, then they are almost certainly higher in energy than the  $\mathbf{e_2}''$  distorted transition structures that are shown in Figures 3 and 4.

# CONCLUSIONS

Despite being allowed by orbital symmetry and being very favorable energetically, fragmentation of  $(CO)_5$  to five molecules of CO is calculated not to occur in a synchronous fashion. The  $D_{5h}$  energy maximum is not a transition structure but a multidimensional hilltop on the potential energy surface for fragmentation of  $(CO)_5$ . The hilltop has an imaginary frequency for  $e_2^{"}$  vibrational modes and a much smaller imaginary frequency for  $e_1^{"}$  vibrational modes.

We have located the  $C_2$  and  $C_s$  transition structures that come from, respectively,  $e_{2x}''$  and  $e_{2y}''$  distortions of  $(CO)_5$  from  $D_{5h}$  symmetry. Passage over the lower energy  $C_2$  transition state in Figure 3 results in cleavage of one CO group from  $(CO)_5$  and is calculated to lead to the formation of cyclic  $(CO)_4$ , instead of to four additional molecules of CO. Passage over the higher energy  $C_5$  transition state in Figure 4 leads to cleavage of  $(CO)_5$  to two molecules of CO plus a  $C_3O_3$  fragment, which subsequently dissociates, without a barrier, to three more molecules of CO.

Unlike the  $C_2$  reaction pathway, the  $C_s$  pathway does lead to fragmentation of  $(CO)_5$  to five molecules of CO, without formation of any intermediates. Therefore, it is energetically concerted. However, the reaction occurs in two discrete stages—fragmentation of  $(CO)_5$  to 2 CO +  $C_3O_3$ , followed by barrierless fragmentation of acyclic  $C_3O_3$  to three more molecules of CO. Consequently, the  $C_s$  transition structure leads to a fragmentation reaction that is highly asynchronous.

The explanation of why the  $C_2$  and  $C_s$  transition structures for stepwise C–C bond breaking provide much lower energy alternatives to a  $D_{5h}$  structure for simultaneously cleaving all five bonds in (CO)<sub>5</sub> can be understood by inspection of the  $e_2$ ' HOMO of  $D_{5h}$  (CO)<sub>5</sub> in Figure 5. The figure shows that, as the C–C bond distances in  $D_{5h}$  (CO)<sub>5</sub> increase, the contributions of the in-plane C–O  $\pi^*$  MOs to the C–C bonding in (CO)<sub>5</sub> decrease. This decrease occurs for two different but closely related reasons.

First, the C–C bonding interactions between the in-plane  $\pi^*$  MOs decrease with increasing C–C distance, thus increasing the energy difference between these empty MOs and the filled  $\mathbf{e}_2$ ′ lone-pair orbitals on the carbons. Second, the bonding

interactions between the empty in-plane  $\pi^*$  MOs and the filled  $e_2$ ' lone-pair orbitals on the carbons also decrease. Consequently, as the C–C distances in (CO)<sub>5</sub> increase, the bonding interactions between the in-plane C–O  $\pi^*$  MOs and the filled  $e_2$ ' lone-pair orbitals on the carbons are increasingly dominated by the antibonding interactions of the carbon lone-pair AOs with each other.

These antibonding interactions between the lone pair AOs on the carbons can be ameliorated by  $e_2$ " second-order Jahn—Teller (SOJT) geometry distortions. These distortions allow the filled  $e_2$ ' HOMO to mix with the  $a_2$ " LUMO, and this mixing reorients the carbon lone-pair AOs in space and reduces the antibonding interactions between them.

The antibonding interactions between the carbon lone pair AOs at the geometry of the  $D_{5h}$  hilltop result in its energy being calculated to be 55–62 kcal/mol above that of the (CO)<sub>5</sub> reactant and 82–97 kcal/mol above that of five CO molecules. The  $\mathbf{e}_{2x}$ " SOJT distortion of the geometry of the  $D_{5h}$  hilltop results in the preferred  $C_2$  transition structure being calculated to be 16–20 kcal/mol lower in energy than the hilltop geometry. Extrusion of a single CO molecule from (CO)<sub>5</sub> is predicted to be preferred to concerted, synchronous fragmentation of (CO)<sub>5</sub> by this amount of energy.

The Woodward–Hoffmann rules for pericyclic reactions would lead one to expect that a highly exothermic fragmentation reaction, which is allowed to be concerted by orbital symmetry, would be greatly favored over a stepwise, endothermic cleavage reaction. The results of our calculations show that this is certainly not the case for  $(CO)_5 \rightarrow 5$  CO, where a concerted, exothermic reaction pathway is calculated to be 16-20 kcal/mol higher in energy than a stepwise, endothermic reaction pathway.

A previous example of a reaction that is exothermic and symmetry-allowed being calculated to have a high reaction barrier is the trimerization of acetylene to benzene. <sup>19</sup> In that case, the  $D_{3h}$  transition structure is destabilized by the requirement that the acetylenes must undergo significant amounts of cis bending before being stabilized by interactions between the high-lying, filled, in-plane  $\pi$  MOs and the low-lying, empty, in-plane  $\pi^*$  MOs.

The fragmentation of  $(CO)_5$  is different in that the five CO molecules do not need to undergo geometry distortions in order for the filled carbon lone-pair orbitals to interact with the empty, in-plane C-O  $\pi^*$  MOs. However, the CO molecules must be within about 2.02 Å of each other (the C-C bond lengths at the  $D_{5h}$  hilltop) before the bonding created by these lone-pair- $\pi^*$  interactions begins to overcome the antibonding created by the filled, lone-pair AOs interacting with each other. At the geometry of the  $D_{5h}$  hilltop, the carbons are too distant for the lone-pair- $\pi^*$  interactions to dominate the lone pair repulsions, but they are too close for the lone-pair repulsions not to significantly destabilize this geometry for the concerted fragmentation of  $(CO)_5$  to five molecules of CO.

These repulsions between the filled, lone-pair AOs are the reason why the  $D_{5h}$  geometry for concerted fragmentation is calculated to be a hilltop, 55–62 kcal/mol above (CO)<sub>5</sub>, and why the  $C_2$  and  $C_s$  geometries, in which these repulsions are mitigated, are the transition structures, leading to fragmentation of (CO)<sub>5</sub> in a stepwise fashion.

#### ASSOCIATED CONTENT

#### S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.joc.5b01546.

Geometries of all stationary points and absolute electronic and vibrational energies computed at these geometries (PDF).

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#### Notes

The authors declare no competing financial interest.

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